UNCLASSIFIED

Report to Congress Pursuant to Section 241 of the
Countering America's Adversaries Through Sanctions Act of 2017
Regarding Senior Foreign Political Figures and Oligarchs in the Russian Federation
and
Russian Parastatal Entities

January 29, 2018

Section 241 of the Countering America's Adversaries Through Sanctions Act of 2017
(CAATSA) requires the Secretary of the Treasury, in consultation with the Director of National
Intelligence and the Secretary of State, to submit to the appropriate congressional committees
180 days after enactment a detailed report on senior political figures and oligarchs in the Russian
Federation (Section 241(a)(1)) and on Russian parastatal entities (Section 241(a)(2)). Pursuant
to Section 241(b), the report shall be submitted in an unclassified form but may have a classified
annex. This is the unclassified portion of the report.

Section 241(a)(1) – Senior Foreign Political Figures and Oligarchs in the Russian
Federation

As required by Section 241(a)(1)(A) of CAATSA, the Department of the Treasury is providing
in this unclassified report a list of senior foreign political figures and oligarchs in the Russian
Federation, as determined by their closeness to the Russian regime and their net worth. For
purposes of this unclassified portion of the report, this determination was made based on
objective criteria related to individuals' official position in the case of senior political figures, or
a net worth of $1 billion or more for oligarchs.

To determine the list of senior political figures, the Department of the Treasury considered the
definition in CAATSA Section 241(c)(2), which incorporates by reference the definition of
“senior foreign political figure” in section 1010.605, title 31 of the Code of Federal Regulations.
For purposes of this unclassified portion of the report, such names consist of: i) senior members
of the Russian Presidential Administration; ii) members of the Russian Cabinet, Cabinet-rank
ministers, and heads of other major executive agencies; iii) other senior political leaders,
including the leadership of the State Duma and Federation Council, other members of the
Russian Security Council, and senior executives at state-owned enterprises. These individuals
are listed in Appendix 1 of this report.

To determine the list of oligarchs, the Department of the Treasury enumerated those individuals
who, according to reliable public sources, have an estimated net worth of $1 billion or more.
Those individuals who meet this criterion are listed in Appendix 2 of this report.

The Department of the Treasury is also providing in a classified annex to this report additional
information required pursuant to Section 241(a)(1). The classified annex may include
individuals who are not included in Appendices 1 and 2 to this unclassified report, and such
persons may hold a position below those included in the unclassified report or have a net worth
below $1 billion.
This report has been prepared and provided exclusively in response to Section 241 of CAATSA. It is not a sanctions list, and the inclusion of individuals or entities in this report, its appendices, or its annex does not and in no way should be interpreted to impose sanctions on those individuals or entities. Inclusion in this report also does not constitute the determination by any agency that any of those individuals or entities meet the criteria for designation under any sanctions program. Moreover, the inclusion of individuals or entities in this report, its appendices, or its classified annexes does not, in and of itself, imply, give rise to, or create any other restrictions, prohibitions, or limitations on dealings with such persons by either U.S. or foreign persons. Neither does inclusion on the unclassified list indicate that the U.S. Government has information about the individual’s involvement in malign activities. Named individuals and entities who are separately subject to sanctions pursuant to sanctions programs established in U.S. law are denoted with an asterisk (*).

Section 241(a)(2) – Russian Parastatal Entities

CAATSA Section 241(a)(2)-(5) requires a report on Russian parastatal entities, including an assessment of their role in the economy of the Russian Federation; an overview of key U.S. economic sectors’ exposure to Russian persons and entities; an analysis of the potential effects of imposing additional debt and equity restrictions on parastatal entities; and the possible impact of additional sanctions against oligarchs, senior political figures, and parastatals on the U.S. and Russian economies.

Russian parastatals have origins in the Soviet Union’s command economy. After the dissolution of the Soviet Union, the Russian government conducted large-scale privatization of these entities; in the early 2000s, it began to renationalize large companies. The Russian government has responded to economic shocks, including the financial crisis in 2008 and the imposition of sanctions in 2014, by increasing its role in the economy and ownership of parastatals. As of 2016, Russian parastatals accounted for one-third of all jobs in Russia and 70 percent of Russia’s GDP.

For purposes of this requirement, Russian parastatals are defined as companies in which state ownership is at least 25 percent and that had 2016 revenues of approximately $2 billion or more. A list of such parastatals and the required analysis specified in Section 241(a)(2)-(5) are included in the classified annex of this report.
Appendix A: List of Senior Political Figures

**Presidential Administration**

1. Anton Vayno [Head, Presidential Administration]
2. Aleksey Gromov* [First Deputy Head, Presidential Administration]
3. Sergey Kiriyenko [First Deputy Head, Presidential Administration]
4. Magomedsalam Magomedov [Deputy Head, Presidential Administration]
5. Vladimir Ostrovenko [Deputy Head, Presidential Administration; Presidential Press Secretary]
6. Dmitriy Peskov [Chief of Presidential Protocol]
7. Vladislav Kitayev [Aide to the President]
8. Andrey Belousov [Aide to the President]
9. Larisa Brycheva [Aide to the President]
10. Vladislav Surkov* [Aide to the President]
11. Igor Levitin [Aide to the President]
12. Vladimir Kozhin* [Aide to the President]
13. Yurii Ushakov [Aide to the President]
14. Andrey Fursenko* [Aide to the President]
15. Nikolay Tsukanov [Aide to the President]
16. Konstantin Chuychenko [Aide to the President]
17. Yevgeniy Shkolov [Aide to the President]
18. Igor Shchegolev* [Aide to the President]
19. Aleksandr Bedritskiy [Adviser to the President, Special Presidential Representative on Climate Issues]
20. Sergey Glazyev* [Adviser to the President]
21. Sergey Grigorov [Adviser to the President]
22. German Klimenko [Adviser to the President]
23. Anton Kobyakov [Adviser to the President]
24. Aleksandra Levitskaya [Adviser to the President]
25. Vladimir Tolstoy [Adviser to the President; Adviser to the President, Chairman of the Presidential Council for Civil Society and Human Rights]
26. Mikhail Fedotov [Adviser to the President]
27. Venyamin Yakovlev [Adviser to the President]
28. Artur Muravyev [Presidential Envoy to the Federation Council]
29. Garry Minkh [Presidential Envoy to the State Duma]
30. Mikhail Krotov [Presidential Envoy to the Constitutional Court]
31. Anna Kuznetsova [Presidential Commissioner for Children’s Rights]
32. Boris Titov [Presidential Commissioner for Entrepreneurs’ Rights]
33. Mikhail Babich [Plenipotentiary Representative to the Volga Federal District]
34. Aleksandr Beglov  
Plenipotentiary Representative to the Northwestern Federal District

35. Oleg Belaventsev*  
Plenipotentiary Representative to the North Caucasus Federal District

36. Aleksey Gordeyev  
Plenipotentiary Representative to the Central Federal District

37. Sergey Menyaylo*  
Plenipotentiary Representative to the Siberian Federal District

38. Yuriy Trutnev  
Deputy Prime Minister – Plenipotentiary Representative to the Far Eastern Federal District

39. Vladimir Ustinov  
Plenipotentiary Representative to the Southern Federal District

40. Igor Kholmanskikh  
Plenipotentiary Representative to the Urals Federal District

41. Aleksandr Manzhosin  
Head, Foreign Policy Directorate

42. Vladimir Chernov  
Head, Directorate for Interregional and Cultural Ties to Foreign Countries

43. Oleg Govorun  
Head, Directorate for Social and Economic Relations with the Commonwealth of Independent States, Abkhazia, and South Ossetia

44. Dmitriy Medvedev  
Prime Minister

45. Igor Shuvalov  
First Deputy Prime Minister

46. Sergey Prikhodko  
Deputy Prime Minister and Head of the Government Apparatus

47. Aleksandr Khloponin  
Deputy Prime Minister

48. Vitaliy Mutko  
Deputy Prime Minister

49. Arkadiy Dvorkovich  
Deputy Prime Minister

50. Olga Golodets  
Deputy Prime Minister

51. Dmitriy Kozak*  
Deputy Prime Minister

52. Dmitriy Rogozin*  
Deputy Prime Minister

53. Mikhail Abyzov  
Minister for Liaison with Open Government

54. Aleksandr Tkachev  
Minister of Agriculture

55. Vladimir Puchkov  
Minister of Civil Defense, Emergencies, and Natural Disasters

56. Nikolay Nikiforov  
Minister of Communications and Mass Media

57. Mikhail Men  
Minister of Construction, Housing, and Public Utilities

58. Vladimir Medinskiy  
Minister of Culture

59. Sergey Shoigu  
Minister of Defense

60. Maksim Oreshkin  
Minister of Economic Development
<table>
<thead>
<tr>
<th>Number</th>
<th>Name</th>
<th>Position</th>
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<tbody>
<tr>
<td>61</td>
<td>Olga Vasilyeva</td>
<td>Minister of Education and Science</td>
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<tr>
<td>62</td>
<td>Aleksandr Novak</td>
<td>Minister of Energy</td>
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<tr>
<td>63</td>
<td>Aleksandr Galushka</td>
<td>Minister of Far East Development</td>
</tr>
<tr>
<td>64</td>
<td>Anton Siluanov</td>
<td>Minister of Finance</td>
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<tr>
<td>65</td>
<td>Sergey Lavrov</td>
<td>Minister of Foreign Affairs</td>
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<tr>
<td>66</td>
<td>Veronika Skvortsova</td>
<td>Minister of Health</td>
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<tr>
<td>67</td>
<td>Denis Manturov</td>
<td>Minister of Industry and Trade</td>
</tr>
<tr>
<td>68</td>
<td>Vladimir Kolokoltsev</td>
<td>Minister of Internal Affairs</td>
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<tr>
<td>69</td>
<td>Aleksandr Konovalov</td>
<td>Minister of Justice</td>
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<tr>
<td>70</td>
<td>Maksim Topilin</td>
<td>Minister of Labor and Social Protection</td>
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<tr>
<td>71</td>
<td>Sergey Donskoy</td>
<td>Minister of Natural Resources and Ecology</td>
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<tr>
<td>72</td>
<td>Lev Kuznetsov</td>
<td>Minister of North Caucasus Affairs</td>
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<td>73</td>
<td>Pavel Kolobkov</td>
<td>Minister of Sports</td>
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<tr>
<td>74</td>
<td>Maksim Sokolov</td>
<td>Minister of Transportation</td>
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**Other Senior Political Leaders**

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<thead>
<tr>
<th>Number</th>
<th>Name</th>
<th>Position</th>
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<tbody>
<tr>
<td>75</td>
<td>Valentina Matviyenko*</td>
<td>Chairwoman, Federation Council</td>
</tr>
<tr>
<td>76</td>
<td>Sergey Naryshkin*</td>
<td>Director, Foreign Intelligence Service (SVR)</td>
</tr>
<tr>
<td>77</td>
<td>Vyacheslav Volodin*</td>
<td>Chairman, State Duma</td>
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<tr>
<td>78</td>
<td>Sergey Ivanov*</td>
<td>Presidential Special Representative for the</td>
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<td></td>
<td>Environment, Ecology, and Transport</td>
</tr>
<tr>
<td>79</td>
<td>Nikolay Patrushev</td>
<td>Secretary, Security Council</td>
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<tr>
<td>80</td>
<td>Vladimir Bulavin</td>
<td>Head, Federal Customs Service</td>
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<tr>
<td>81</td>
<td>Valeriy Gerasimov</td>
<td>First Deputy Minister of Defense and Chief of</td>
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<td></td>
<td></td>
<td>the General Staff</td>
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<td>82</td>
<td>Igor Korobov*</td>
<td>Chief, Main Intelligence Directorate General</td>
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<td>Staff (GRU), Ministry of Defense</td>
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<td>83</td>
<td>Rashid Nurgaliyev</td>
<td>Deputy Secretary, Security Council</td>
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<td>84</td>
<td>Georgiy Poltavchenko</td>
<td>Governor of Saint Petersburg</td>
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<td>85</td>
<td>Sergey Sobyanin</td>
<td>Mayor of Moscow</td>
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<td>86</td>
<td>Yuriy Chayka</td>
<td>Prosecutor General</td>
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<td>87</td>
<td>Aleksandr Bastrykin*</td>
<td>Head, Investigative Committee</td>
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<td>88</td>
<td>Viktor Zolotov</td>
<td>Director, Federal National Guard Service</td>
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<td>89</td>
<td>Dmitriy Kochnev</td>
<td>Director, Federal Protection Service</td>
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<td>90</td>
<td>Aleksandr Bortnikov</td>
<td>Director, Federal Security Service (FSB)</td>
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<td>91</td>
<td>Andrey Artizov</td>
<td>Head, Federal Archive Agency</td>
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<td>92</td>
<td>Yuriy Chikhanchin</td>
<td>Head, Financial Monitoring Federal Service</td>
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<td>93</td>
<td>Aleksandr Linets</td>
<td>Head, Presidential Main Directorate for Special Programs</td>
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<tr>
<td>94</td>
<td>Aleksandr Kolpakov</td>
<td>Head, Presidential Property Management</td>
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<td>Directorate</td>
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95. Valeriy Tikhonov  Head, State Courier Service
96. Aleksey Miller  Chief Executive Officer, Gazprom
97. Igor Sechin*  Chief Executive Officer, Rosneft
98. German Gref  Chief Executive Officer, Sberbank
99. Oleg Belozerov  General Director, Russian Railways
100. Andrey Kostin  Chairman-Management Board, VTB
101. Sergey Chemezov*  Chief Executive Officer, Rostec
102. Oleg Budargin  Chief Executive Officer, Rosseti
103. Boris Kovalchuk  Chief Executive Officer, Inter RAO
104. Aleksey Likhachev  General Director, Rosatom
105. Nikolay Tokarev  Chief Executive Officer, Transneft
106. Andrey Akimov  Chief Executive Officer, Gazprombank
107. Nail Maganov  General Director, Tatneft
108. Vitaliy Savelyev  Chief Executive Officer, Aeroflot
109. Andrey Shishkin  Chief Executive Officer, ANK Bashneft
110. Yuriy Slyusar  Chief Executive Officer, United Aircraft Corporation
111. Nikolay Shulginov  Chief Executive Officer, RusHydro
112. Sergey Gorkov  Chief Executive Officer, Vneshekonombank
113. Sergey Ivanov (Jr)  Chief Executive Officer, ALROSA
114. Roman Dashkov  Chief Executive Officer, Sakhalin Energy
Appendix B: List of Oligarchs

1. Aleksandr Abramov
2. Roman Abramovich
3. Araz Agalarov
4. Farkhad Akhmedov
5. Vagit Alekperov
6. Igor Altushkin
7. Aleksey Ananyev
8. Dmitriy Ananyev
9. Vasily Anisimov
10. Roman Avdeyev
11. Petr Aven
12. Yelena Baturina
13. Aleksey Bogachev
14. Vladimir Bogdanov
15. Leonid Boguslavskiy
16. Andrey Bokarev
17. Oleg Boyko
18. Nikolay Buynov
19. Oleg Deripaska
20. Aleksandr Dzhaparidze
21. Leonid Fedun
22. Gleb Fetisov
23. Mikhail Fridman
24. Aleksandr Frolov
25. Filaret Galchev
26. Sergey Galitskiy
27. Valentin Gapontsev
28. Sergey Gordeyev
29. Andrey Guryev
30. Yuriy Gushchin
31. Mikhail Gutseriyev
32. Sait-Salam Gutseriyev
33. Zarakh Iliyev
34. Dmitriy Kamenshchik
35. Vyacheslav Kantor
36. Samvel Karapetyan
37. Yevgeniy Kasperskiy
38. Sergey Katsiyev
39. Suleyman Kerimov
40. Igor Kesayev
41. Danil Khachaturov
42. German Khan
43. Viktor Khartitonin
44. Aleksandr Klyachin
45. Petr Kondrashev
46. Andrey Kosogov
47. Yuriy Kovalchuk*
48. Andrey Kozitsyn
49. Aleksey Kuzmichev
50. Lev Kvetnoy
51. Vladimir Lisin
52. Anatoliy Lomakin
53. Ziyavudin Magomedov
54. Igor Makarov
55. Iskander Makhmudov
56. Aleksandr Mamut
57. Andrey Melnichenko
58. Leonid Mikhelson
59. Yuriy Milner
60. Boris Mints
61. Andrey Molchanov
62. Aleksey Mordashov
63. Vadim Moshkovich
64. Aleksandr Nesis
65. God Nisanov
66. Aleksandr Ponomarenko
67. Sergey Popov
68. Vladimir Potanin
69. Mikhail Prokhorov
70. Dmitriy Pumpyanskiy
71. Megdet Rakhimkulov
72. Andrey Rappoport
73. Viktor Rashnikov
74. Arkadiy Rotenberg*
75. Boris Rotenberg*
76. Dmitriy Rybolovlev
77. Ayrat Shaymiyev
78. Radik Shaymiyev
79. Kirill Shamalov
80. Yuriy Shefler
81. Albert Shigabutdinov
82. Mikhail Shishkhanov
83. Leonid Simanovskiy
84. Andrey Skoch
85. Aleksandr Skorobogatko
86. Rustem Sulteyev
87. Aleksandr Svetakov
88. Gennadiy Timchenko*
89. Oleg Tinkov
90. Roman Trotsenko
91. Alisher Usmanov
92. Viktor Vekselberg
93. Arkadiy Volozh
94. Vadim Yakunin
95. Vladimir Yevtushenkov
96. Gavril Yushvayev